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### **FACSIMILE TRANSMISSION COVER SHEET**

TO: Examiner Wai-Sing Louie
Group Art Unit: 2814

FAX NO.: 703-308-7722

FROM: Rod S. Turner

SENDER: Julie L. Watts

## HOFFMANN & BARON, LLP ATTORNEYS AT LAW

| • NY OFFICE                | NJ OFFICE                 |  |
|----------------------------|---------------------------|--|
| 6900 JERICHO TURNPIKE      | 1055 PARSIPPANY BOULEVARI |  |
| SYOSSET, N.Y. 11791        | PARSIPPANY, N.J. 07054    |  |
| TELEPHONE: 516-822-3550    | TELEPHONE: 973-331-1700   |  |
| TELECOPIER: 516-822-3582   | TELECOPIER: 973-331-1717  |  |
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#### **PATENT**

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Applicant(s)         | Katsumi Sameshima  | Examiner:  | Wai-Sing Louie    |  |
|----------------------|--|--|-------------------|--|
| Serial No.:          | 09/451,979   | Group Art Unit:  | 2814              |  |
| Confirmation No.:    | Unassigned   | Docket:  | 362-39            |  |
| Filed:               | November 30, 1999  | Dated:   | February 13, 2002 |  |
| For:                 | FERROELECTRIC MEM<br>AND METHOD FOR<br>MANUFACTURING SAM |  |                   |  |
| Washington, DC 20231 |  | Certificate of Facsimile Transmission  I hereby certify that this paper is being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below |                   |  |
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## DRAFT PROPOSED AMENDMENT

Sir:

In response to the final Office Action mailed October 19, 2001, a reply to which is due February 19, 2002 with a Petition for Extension of Time, the following amendments are proposed:

## IN THE CLAIMS:

Please amend Claims 1 -5 by rewriting the same as follows:

1. (Twice Amended) A ferroelectric memory, comprising:
an insulation film having a [hollow] concave portion at a top surface; and
a laminated body obtained by laminating a plurality of layers on said top surface and
etching a region of said plurality of layers corresponding to a region other than said [hollow]
concave portion wherein said laminated body includes a lower electrode layer which is
cought into contact with a nottom surface of said concave portion. Terroelectric layer
formed on said lower electrode layer and an upper electrode layer formed on said
ferroelectric layer, wherein a portion of said lower electrode layer protrudes outward from an